Foreign Account Tax Compliance Act (FATCA)

administered under the laws of the US and has any US persons with authority to control decisions concerning the trust.

Declaration - Entity

Form purpose

In order to comply with the reporting provisions commonly known as the Foreign Account Tax Compliance Act (FATCA) and country specific Intergovernmental Agreements with the United States (US), financial institutions are required to identify and report to their local tax authority an account holder that is a United States (US) resident for tax purposes.



For advice on taxation matters please contact an accredited and qualified tax professional.

☐ Tick where ap	pplicable. Please use black	or blue pen and w	rite in English using BLOCK LETTERS.		
Section 1 E	intity details		Section 3 Foreign entity		
1.1 Full Name of Entity The term 'Entity' refers to a company, partnership, association, co-operative, trust or government body.		ship, association,	3.1 Is the Entity an 'Exempt Beneficial Owner'? Yes ►Go to Section 6 to finalise the form No ►Go to Section 3.2		
•••	ace of Business address re of not allowed		What is an 'Exempt Beneficial Owner'? A Foreign (Non-US) Entity that is exempt from FATCA reporting and includes: Government Entities International organisations Central banks		
Unit/Street Number	Street Name/Type	State/Province	Broad participation retirement funds Narrow participation retirement funds Treaty-qualified retirement funds		
Country (do not abbreviate) State/Province Postcode/Zip			Pension fund of an Exempt Beneficial Owner Investment Entity wholly owned by Exempt Beneficial Owner 3.2 Is the Entity a Direct Reporting NFFE? Yes Go to Section 4.3		
Section 2 E 2.1 Specify the Enti Foreign (Non- Go to Section	ty type US) Entity		No ► Go to Section 3.3 What is a 'Direct Reporting NFFE'? A Direct Reporting NFFE is an NFFE that elects to report informatio about its direct or indirect substantial U.S. owners to the IRS. Does the Entity conduct Active or Passive business?		
The term 'Foreign (Non-US) Entity' refers to a Non-US company, partnership, association, co-operative, trust or government body.			Active Go to Section 6 to finalise the form Passive Go the Section 3.4		
Foreign (Non-US) Financial Institution ▶ Go to Section 4 The term 'Foreign (Non-US) Financial Institution' when used in this form refers to a Non-US Custodial Institution, Depository Institution, an Investment Entity, Restricted Distributor, Territory FI or a Specified Insurance Company.		tion, Depository istributor,	Reference no. < Customer ID>< Alert ID>		
	on 5 JS Entity' if it is established un A trust is considered to be a U				

What are 'Active' and 'Passive' businesses?

An 'Active' Business includes a:

- Listed and regularly traded corporation
- . Holding company
- Start-up company
- Hedging financing centre
- . Charitable organisation

A 'Passive' Business is when >50% of gross income

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for the preceding year is passive income and >50% of assets held for the preceding year produced passive income. Passive income is generally income that is not derived from the conduct of an Active business activity.

For example - a 'Passive' Business can be conducted by a Family trust, Partnerships, Associations, Private Companies (proprietary limited, PLC) that derives dividends and/or income from a portfolio of equities and/or property.

 Please turn page

Section 3 Foreign entity (cont.)

3.4 Are there any Controlling Persons that are U
citizens or residents for tax purposes?

US Tax Identification Number (TIN)

J.4 /	-	sidents for tax purposes?	•			
	Yes		Title Family name	Full given name(s)		
(i)	What is a 'Controlling Person'? Any individual who exercises control over the Entity (whether directly or indirectly through one or more interposed entities) with ownership interest of >25% and where there is no ownership interest, the individual(s) exercising control through other means. A 'Controlling Person' includes:			tial address PO Box or 'Care of' Street Name/Type	not allowed	
	interest, direction of trustee(s), see	Beneficiaries or class of benefici	•	Suburb Country (do not abb	oreviate)	State/Province Postcode/Zip
For an incorporated association or registered co-op - Chairman, treasurer and/or secretary 3.5 Complete the name, address, and US Tax Identification Number (TIN) for each US Controlling Person What is a 'US Tax Identification Number'? The 'US Tax Identification Number (TIN)' is a Social Security Number (SSN) issued by the US Social Security Administration. US resident aliens that are not eligible to obtain an SSN must supply their Individual Tax Identification Number (ITIN). The ITIN is issued by the Internal Revenue Service. US Controlling Person 1			US Tax Identification US Controlling Full name Title Family name			
Title	name	Full given name(s)			tial address PO Box or 'Care of	not allowed
Fam	nily name				Street Name/Type	
		ial address PO Box or 'Care of' Street Name/Type	not allowed	Suburb		State/Province
Sub	urb		State/Province	Country (do not abb	oreviate)	Postcode/Zip
Cou	ıntry (do not abb	reviate)	Postcode/Zip	US Tax Identification	on Number (TIN)	

US Controlling Person 2

Full name

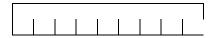
Section 3 Foreign entity (cont.) Registered Deemed-Compliant FFI Go to Section 4.3 An FFI that is required to register with the Internal Revenue US Controlling Person 4 Service (IRS) and is deemed compliant and exempt from FATCA reporting. Full name **Territory FI** Title Full given name(s) Go to Section 4.3 A Financial Institution (other than an investment entity) that is Family name incorporated or organised under the laws of a possession of the United States. Certified Deemed-Compliant FFI Permanent residential address PO Box or 'Care of' not allowed Unit/Street Number Go to Section 6 to finalise the form Street Name/Type An FFI that is not registered with the Internal Revenue Service (IRS) and is deemed compliant and exempt from FATCA Suburb State/Province reporting. **Restricted Distributor** Country (do not abbreviate) Postcode/Zip Go to Section 6 to finalise the form Non-Participating FFI Go to Section 6 to finalise the form (Includes a Limited FFI and Limited Branch) **US Tax Identification Number (TIN)** An FFI that has not registered with the Internal Revenue Service (IRS) and is considered to not be participating in full compliance with the FATCA identification and reporting obligations. If there are more than four US Controlling Persons, Specify the Global Intermediary Identification Number please provide the additional details on a separate (GIIN) for the Foreign Financial Institution (FFI) or Direct sheet of paper. Reporting NFFE ▶Go to Section 6 to finalise the form All FFIs and Direct Reporting NFFEs are required to register with the US Internal Revenue Service (IRS) in order to comply with the FATCA identification and reporting obligations. Upon successful registration the IRS will issue the FFI or Section 4 Foreign Financial Institution (FFI) Direct Reporting NFFE with a FATCA registration status and number. The registration number is referred to as the Global Intermediary Identification Number (GIIN). 4.1 Is the Entity an Exempt Beneficial Owner? Go to Section 6 to finalise the form Go to Section 4.2 What if the FFI or Direct Reporting NFFE does not have a GIIN yet? If the FFI or Direct Reporting NFFE has applied for a GIIN but has not yet received it, then wait for the GIIN to be issued before What is an 'Exempt Beneficial Owner'? returning this form. Do not return this form without a GIIN. A Foreign (Non-US) Entity that is 'exempt' FATCA reporting Failure to provide the GIIN will result in the FFI or Direct Reporting and includes: Government Entities NFFE being treated as a Non-Participating FFI and will become International organisations reportable for FATCA. Broad participation retirement funds Go to Section 6 to finalise the form Narrow participation retirement funds . Treaty-qualified retirement funds . Pension fund of an Exempt Beneficial Owner Section 5 US Entity . Investment Entity wholly owned by Exempt Beneficial Owners 5.1 Is the Entity exempt from FATCA reporting? 4.2 Specify the FATCA Registration Status for the FFI? US Entities that may be exempt from FATCA reporting include: Participating FFI Listed and regularly traded US corporations Go to Section 4.3 US registered financial instrument dealers An FFI that has entered into an agreement directly with the Internal Revenue Service (IRS) in order to comply with FATCA . Certain US retirement plans identification and reporting obligations. Yes ▶ Go to Section 6 to finalise the form **Partner Jurisdiction FFI** No Go to Section 5.2 Go to Section 4.3 An FFI that resides in a jurisdiction that has entered into

a country specific Intergovernmental agreement with the Internal Revenue Service (IRS) in order to comply with FATCA

Identification and reporting obligation.

5.2 Specify the US Employer Identification Number (EIN) for the Entity

The EIN is the US Tax Identification Number (TIN) for a US Entity and is issued by the Internal Revenue Service (IRS).



Go to Section 6 to finalise the form

Section 6 Declaration

6.1 I declare the following:

- The information I have provided in this form is true and correct and I understand the selections that I have made and how they apply to the entity
- If the circumstances for the Entity or US Controlling Person(s) change causing the information provided on this form to become incorrect, I will provide the updated information within 30 days of the change of circumstance.



Who do I contact about a change of circumstance?

Contact us at your nearest branch or by post. Contact details are listed on our website www.westpac.com.pg. We may require you to complete this form again depending on your circumstances.

Signature of Authorised Entity Representative

This form must be signed by an appropriate Entity Representative which may include an authorised officer or director of a company, a partner of a partnership, a trustee of a trust, an executor of an estate, or an authorised representative (verified and held by us) to act on behalf of the entity account holder.

x	DATE	/	1		
Role/Function					
Print full name					
Contact number					
()					

Next Steps

Step 1 Ensure all relevant sections of the form are completed Step 2 Return the completed form to the branch representative.

Please remember, Until the form has been completed and returned your account information may be reported to the Local Tax Authority. If you are not required to pay tax in the United States, then the reporting of account information will not create a tax obligation for you.

FOR BANK USE ONLY				
Reference Number				

Privacy Statement

We collect the personal information required by this form from you to process your application or to meet certain regulatory obligations. We may also provide your information to comply with legislative or regulatory requirements in any jurisdiction, tell you about products or services we think may interest you, prevent fraud, crime or other activity that may cause harm in relation to our products or services and help run our business.

If you do not provide all the information we request, we may need to reject any application you make, or we may no longer be able to provide a product or service to you.

We may disclose your personal information to other members of the Westpac Group, anyone we engage to do something on our behalf ("service providers") and other organisations that assist with our business.

Sometimes we will disclose your personal information to an entity which is located outside Australia. Details of the countries where the overseas recipients are likely to be located are in our privacy policy.

As a provider of financial services, we have obligations to disclose some personal information to government agencies and regulators in Australia, and in some cases offshore. We are not able to ensure that foreign government agencies or regulators will comply with Australian privacy laws, although they may have their own privacy laws. By using our products or services, you consent to these disclosures.

We are required or authorised to collect personal information from you by certain laws. Details of these laws are in our privacy policy.

Our privacy policy is available on our website. It covers:

- How you can access the personal information we hold about you and ask for it to be corrected.
- How you may complain about a breach of the Australian Privacy Principles or a registered privacy code and how we will deal with your complaint; how we collect, hold, and disclose your personal information in more detail.

We will update our privacy policy from time to time.

For our customers located in the European Union

The General Data Protection Regulation (**GDPR**) regulates the collection, use, disclosure, or other processing of personal data under European Union (**EU**) law. Personal data means any information relating to you from which you are either identified or may be identifiable. Our collection, use, disclosure, and other processing of your personal data may also be regulated by the GDPR.

Please refer to our EU Data Protection Policy on our website at https://www.westpac.com.au/privacy/eu-data-protection-policy/ for information about how we manage your personal data under the GDPR. The EU Data Protection Policy also forms part of this Privacy Statement.