

Foreign Account Tax Compliance Act (FATCA)

Declaration - Individual

Form purpose

In order to comply with the reporting provisions commonly known as the Foreign Account Tax Compliance Act (FATCA) and country specific intergovernmental Agreements with the United States (US), financial institutions are required to identify and report to their local tax authority an account Holder that is a United States (US) resident for tax purposes.

Form Instructions

For Customers **<Age>**, please have a Parent/Guardian complete this form.



For advice on taxation matters please contact an accredited and qualified tax professional.

☐ Tick where applicable. Please use black or blue pen and write in English using BLOCK LETTERS.

Section 1 Personal details

1.1 Full name

Title	Full given name(s)
Family name	

1.2 Permanent residential address

PO Box, 'Care of' or temporary address is not allowed

Unit/Street Number	Street Name/Type	
Suburb	State/Province	
Country (do not abbreviate)	Postcode/Zip	

Section 3 Not a US citizen or resident of the US for tax purposes

3.1 Were you born in the US?

- Yes ☐ Provide supporting documentation in Section 3.2
- No ☐ Provide supporting documentation in Section 3.3

3.2 Born in the US

- ☐ Tick which documents you are providing and attach the copy of the documentation to the back of this form

You'll need to provide the following:

- ☐ A copy of Certificate of Loss of Nationality of the United States

AND provide ONE of the following:

- ☐ A copy of a Passport or Travel Document issued by a Non-US government, the United Nations, or an agency of the United Nations
- ☐ A copy of a Citizenship Certificate issued by a Non-US government

Go to Section 4 to finalise the form

Section 2 Declaration of US citizen or resident for tax purposes

2.1 Are you a US citizen or resident for tax purposes?

- ☐ Yes ☐ No ☐ Go to Section 3

Supply your US Tax Identification Number (TIN) below:

The US Tax Identification Number (TIN) is a Social Security Number (SSN) issued by the US Social Security Administration. US resident aliens that are not eligible to obtain an SSN must supply their individual Tax Identification Number (ITIN). The ITIN is issued by the Internal Revenue Service.

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What if I do not have a US TIN yet?

If you have applied for a US TIN but have not yet received it, then wait for your US TIN to be issued before returning this form. Do not return this form without your US TIN.

3.3 Born outside of the US

- ☐ Tick which documents you are providing and attach the copy of the documentation to the back of this form

You'll need to provide ONE of the following:

- ☐ A copy of a Passport, Birth Certificate or Travel Document issued by a Non-US government, the United Nations or an agency of the United Nations
- ☐ A copy of a citizenship Certificate issued by a Non-US government

Go to Section 4 to finalise the form

Reference no. **<Customer ID><Alert ID>**

Section 4 Declaration

I declare the following:

- The information I have provided in this form is true and correct.
- If my circumstances change causing the information provided on this form to become incorrect, I will provide the updated information within 30 days of the change of circumstance.



Who do I contact about a change of circumstance?

Contact us via post or email. Contact details are listed in 'Next Steps'. We may require you to complete this form again depending on your circumstances.

Signature of individual OR parent/guardian for individual

Date (DD/MM/CCYY)

x

/ /

Full name of individual OR parent/guardian for individual (*please print*)

Contact number

()

Only to be completed when Parent/Guardian is providing information on behalf of an Individual

Parent/Guardian Attestation:

I _____ hereby attest that I am authorised to provide this information on behalf
[Parent/Guardian Name]

of _____ and that this is a true and accurate declaration.
[Customer Name]

Next Steps

- Step 1 Ensure all relevant sections of the form are completed
Step 2 Return the completed form to the branch representative.

Please remember, until the form has been completed and returned your account information may be reported to the Local Tax Authority. If you are not required to pay tax in the United States, then the reporting of account information will not create a tax obligation for you.

FOR BANK USE ONLY

Reference Number

Privacy Statement

We collect the personal information required by this form from you to process your application or to meet certain regulatory obligations. We may also provide your information to comply with legislative or regulatory requirements in any jurisdiction, tell you about products or services we think may interest you, prevent fraud, crime or other activity that may cause harm in relation to our products or services and help run our business.

If you do not provide all the information we request, we may need to reject any application you make, or we may no longer be able to provide a product or service to you.

We may disclose your personal information to other members of the Westpac Group, anyone we engage to do something on our behalf ("service providers") and other organisations that assist with our business.

Sometimes we will disclose your personal information to an entity which is located outside Australia. Details of the countries where the overseas recipients are likely to be located are in our privacy policy.

As a provider of financial services, we have obligations to disclose some personal information to government agencies and regulators in Australia, and in some cases offshore. We are not able to ensure that foreign government agencies or regulators will comply with Australian privacy laws, although they may have their own privacy laws. By using our products or services, you consent to these disclosures.

We are required or authorised to collect personal information from you by certain laws. Details of these laws are in our privacy policy.

Our privacy policy is available on our website. It covers:

- How you can access the personal information we hold about you and ask for it to be corrected.
- How you may complain about a breach of the Australian Privacy Principles or a registered privacy code and how we will deal with your complaint; how we collect, hold, and disclose your personal information in more detail.

We will update our privacy policy from time to time.

For our customers located in the European Union

The General Data Protection Regulation (**GDPR**) regulates the collection, use, disclosure, or other processing of personal data under European Union (**EU**) law. Personal data means any information relating to you from which you are either identified or may be identifiable. Our collection, use, disclosure, and other processing of your personal data may also be regulated by the GDPR.

Please refer to our EU Data Protection Policy on our website at <https://www.westpac.com.au/privacy/eu-data-protection-policy/> for information about how we manage your personal data under the GDPR. The EU Data Protection Policy also forms part of this Privacy Statement.